

Office Docket No. 042390.P5965

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

7/B  
CE  
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JUN 25 2001  
Technology Center 2100

In re Application of:

Hacking

Application No: 09/122,349

Filed: July 24, 1998

For: Method and Apparatus for  
Performing Cache Segment Flush  
and Cache Segment Invalidation  
Operations

Examiner: Tran, D.

Art Unit: 2186

06/21/2001 AOSHAN1 00000005 09122349

01 FC:102  
02 FC:103

80.00 OP  
18.00 OP

RESPONSE TO OFFICE ACTION

Box Fee Amendment  
Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

In response to the Office Action mailed March 14, 2001, Applicants respectfully  
request the Examiner to enter the following amendment and to consider the following  
remark.

FIRST CLASS CERTIFICATE OF MAILING

I hereby certify that I am causing the above-referenced correspondence to be deposited with the United States Postal Service  
as first class mail with sufficient postage on the date indicated below and that this paper or fee has been addressed to the  
Assistant Commissioner for Patents, Washington, D. C. 20231

June 14, 2001

Date of Deposit

Krista Mathieson

Name of Person Mailing Correspondence

Signature

June 14, 2001

Date

Docket No: 042390. P5965  
Application No: 09/122,349



2186  
\$

PTO/SB/17(11-00)

Approved for use through 10/31/2002. OMB 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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## FEE TRANSMITTAL FOR FY 2001

TOTAL AMOUNT OF PAYMENT (\$) 98.00

### Complete if Known:

Application No. 09/122,349

Filing Date July 24, 1998

First Named Inventor Hacking

Group Art Unit 2186

Examiner Name Tran, D.

Attorney Docket No. 42390P5965

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JUN 25 2001  
Technology Center 2100

### METHOD OF PAYMENT (check one)

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account Number 02-2666

Deposit Account Name \_\_\_\_\_

- ☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

- ☐ Applicant claims small entity status. See 37 CFR 1.27

FIRST CLASS CERTIFICATE OF MAILING  
(37 C.F.R. § 1.8 (a))

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2. ☒ Payment Enclosed: ☒

Check

Credit Card

Money Order

Other

On June 14, 2001  
Date of Deposit

### FEE CALCULATION

#### 1. BASIC FILING FEE

Large Entity Fee Small Entity Fee

Code (\$) Code (\$)

101 710 201 355

106 320 206 160

107 490 207 245

108 710 208 355

114 150 214 75

#### Fee Description

Utility application filing fee

Design application filing fee

Plant filing fee

Reissue filing fee

Provisional application filing fee

Fee Paid

SUBTOTAL (1) \$ 0.00

#### 2. EXTRA CLAIM FEES

#### Extra Claims

Fee from below

Fee Paid

Total Claims \_\_\_\_\_

- 20\*\* = \_\_\_\_\_

X

Independent Claims \_\_\_\_\_

- 3\*\* = \_\_\_\_\_

X

Multiple Dependent

\*\*Or number previously paid, if greater; For Reissues, see below.

Large Entity Fee Small Entity Fee

Code (\$) Code (\$)

103 18 203 9

102 80 202 40

104 270 204 135

109 80 209 40

110 18 210 9

#### Fee Description

Claims in excess of 20

Independent claims in excess of 3

Multiple dependent claim, if not paid

\*\*Reissue independent claims over original patent

\*\*Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) \$ 0.00

FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

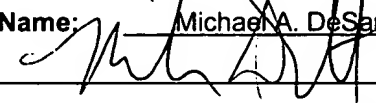
<u>Large Entity</u>		<u>Small Entity</u>		<u>Fee Description</u>	<u>Fee Paid</u>
<u>Fee Code</u>	<u>Fee (\$)</u>	<u>Fee Code</u>	<u>Fee (\$)</u>		
105	130	205	65	Surcharge - late filing fee or oath	_____
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	_____
139	130	139	130	Non-English specification	_____
147	2,520	147	2,520	For filing a request for ex parte reexamination	_____
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	_____
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	_____
115	110	215	55	Extension for reply within first month	_____
116	390	216	195	Extension for reply within second month	_____
117	890	217	445	Extension for reply within third month	_____
118	1,390	218	695	Extension for reply within fourth month	_____
128	1,890	228	945	Extension for reply within fifth month	_____
119	310	219	155	Notice of Appeal	_____
120	310	220	155	Filing a brief in support of an appeal	_____
121	270	221	135	Request for oral hearing	_____
138	1,510	138	1,510	Petition to institute a public use proceeding	_____
140	110	240	55	Petition to revive - unavoidable	_____
141	1,240	241	620	Petition to revive - unintentional	_____
142	1,240	242	620	Utility issue fee (or reissue)	_____
143	440	243	220	Design issue fee	_____
144	600	244	300	Plant issue fee	_____
122	130	122	130	Petitions to the Commissioner	_____
123	130	123	130	Petitions related to provisional applications	_____
126	180	126	180	Submission of Information Disclosure Stmt	_____
581	40	581	40	Recording each patent assignment per property (times number of properties)	_____
146	710	246	355	For filing a submission after final rejection (see 37 CFR 1.129(a))	_____
149	710	249	355	For each additional invention to be examined (see 37 CFR 1.129(b))	_____
179	710	279	355	Request for Continued Examination (RCE)	_____
169	900	169	900	Request for expedited examination of a design application	_____
Other fee (specify) <u>Presentation of additional claims</u>					<u>\$98.00</u>
Other fee (specify) _____					_____

SUBTOTAL (3) \$ 98.00

\*Reduced by Basic Filing Fee Paid

#### SUBMITTED BY:

Typed or Printed Name: Michael A. DeSanctis

Signature:  Date: June 14, 2001

Reg. Number: 39,957 Telephone Number: 303-740-1980

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JUN 15 2001  
Technology Center 2100

Attorney's Docket No.: 042390P5965

Patent

In re the Application of: Hacking  
(inventor(s))

Application No.: 09/122,349

Filed: July 24, 1998

For: Method and Apparatus for Performing Cache Segment Flush and Cache Segment Invalidation Operations  
(title)

ASSISTANT COMMISSIONER FOR PATENTS  
Washington, D.C. 20231

SIR: Transmitted herewith is an Amendment for the above application.

Small entity status of this application under 37 C.F.R. §§ 1.9 and 1.27 has been established by a verified statement previously submitted.

A verified statement to establish small entity status under 37 C.F.R. §§ 1.9 and 1.27 is enclosed.

No additional fee is required.

The fee has been calculated as shown below:

(Col. 1)		(Col. 2)		(Col. 3)	SMALL ENTITY		OTHER THAN A SMALL ENTITY	
	Claims Remaining After Amd.		Highest No. Previously Paid For	Present Extra	Rate	Additional Fee	Rate	Additional Fee
Total Claims	* 38	Minus	** 37	1	X9	\$	X18	\$18.00
Indep. Claims	* 9	Minus	*** 8	1	X40	\$	X80	\$80.00
<input type="checkbox"/> First Presentation of Multiple Dependent Claim(s)					+135	\$	+270	\$
					Total Add. Fee	\$	Total Add. Fee	\$98.00

\* If the entry in Col. 1 is less than the entry in Col. 2, write "0" in Col. 3.

\*\* If the "Highest No. Previously Paid For" IN THIS SPACE is less than 20, write "20" in this space.

\*\*\* If the "Highest No. Previously Paid For" IN THIS SPACE is less than 3, write "3" in this space. The "Highest No. Previously Paid For" (Total or Independent) is the highest number found from the equivalent box in Col. 1 of a prior amendment or the number of claims originally filed.

**FIRST CLASS CERTIFICATE OF MAILING**

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on June 14, 2001  
Date of Deposit

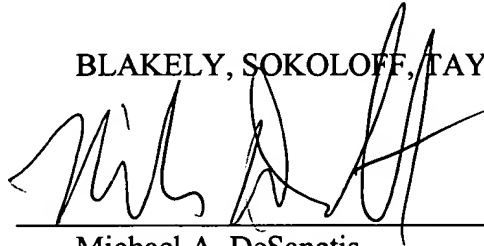
Krista Mathieson  
Name of Person Mailing Correspondence

Krista Mathieson  
Signature

June 14, 2001  
Date

- ☒ A check in the amount of \$ 98.00 is attached for presentation of additional claim(s).
- ☒ Applicant(s) hereby Petition(s) for an Extension of Time should one be necessary pursuant to 37 C.F.R. § 1.136(a).
- ☐ A check for \$ \_\_\_\_\_ is attached for processing fees under 37 C.F.R. § 1.17.
- ☐ Please charge my Deposit Account No. 02-2666 the amount of \$ \_\_\_\_\_.
- A duplicate copy of this sheet is enclosed.**
- ☒ The Commissioner of Patents and Trademarks is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 02-2666 (a duplicate copy of this sheet is enclosed):
- ☒ Any additional filing fees required under 37 C.F.R. § 1.16 for presentation of extra claims.
- ☒ Any extension or petition fees under 37 C.F.R. § 1.17.

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP



Dated: June 14, 2001

Michael A. DeSanctis  
Reg. No. 39,957

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